

Eligibility Inquiry Form (UK and Ireland - individuals)

Are you investing as a **sole individual?** Or as **joint investors?**

1. YOUR DETAILS AS SOLE OR FIRST INVESTOR (you will be our primary contact in the case of joint investment)

Mr/Mrs/Ms/Miss/Other	Gender
<input type="text"/>	<input type="text"/>
Full name	
<input type="text"/>	
Date of birth (dd/mm/yy)	Telephone (w/country code)
<input type="text"/>	<input type="text"/>
Highest level education	Current/previous occupation
<input type="text"/>	<input type="text"/>
Permanent Address	
<input type="text"/>	
Town/city	
<input type="text"/>	
Postcode	Country
<input type="text"/>	<input type="text"/>
Do you use an independent financial advisor	
Yes <input type="checkbox"/>	No <input type="checkbox"/>
Email	
<input type="text"/>	

2. YOUR DETAILS AS SECOND INVESTOR (if applicable)

Mr/Mrs/Ms/Miss/Other	Gender
<input type="text"/>	<input type="text"/>
Full name	
<input type="text"/>	
Date of birth (dd/mm/yy)	Telephone (w/country code)
<input type="text"/>	<input type="text"/>
Highest level education	Current/previous occupation
<input type="text"/>	<input type="text"/>
Permanent Address	
<input type="text"/>	
Town/city	
<input type="text"/>	
Postcode	Country
<input type="text"/>	<input type="text"/>
Do you use an independent financial advisor	
Yes <input type="checkbox"/>	No <input type="checkbox"/>
Email	
<input type="text"/>	

3. IS THIS TYPE OF INVESTMENT SUITABLE FOR YOU?

Before a Subscription Offer Form (Step 2) can be issued to you, we are required by law to assess that, based on your knowledge and experience, the investment you wish to make (**depository receipts; a 'non-readily realisable security'***) is suitable for you as regards the level of risk.

*A non-readily realisable security is any investment which is not in a government or publicly issued security. Nor is it in a security which is admitted to official listing on, or regularly traded on (or under the rules of) a recognised investment exchange, e.g. the London stock exchange.

Please complete the following questionnaire to assess whether the level of risk is suitable for you:

a) There is no guarantee of dividend income on these depository receipts

Accept Do not accept (Sole/first investor)

Accept Do not accept (Second investor, if applicable)

b) As these depository receipts are not covered by any Financial Compensation Scheme, repayment of them is not guaranteed:

Accept Do not accept (Sole/first investor)

Accept Do not accept (Second investor, if applicable)

c) Oikocredit has the right to postpone redemptions of these depository receipts for up to 5 years and these depository receipts are not listed on any investment or stock exchange. This means that there is a risk that you may have to wait before you are repaid or you may not be repaid:

Accept Do not accept (Sole/first investor)

Accept Do not accept (Second investor, if applicable)

d) The past performance of these depository receipts is not a guide to future performance:

Accept Do not accept (Sole/first investor)

Accept Do not accept (Second investor, if applicable)

e) The value of your capital may remain the same or may decrease:

Accept Do not accept (Sole/first investor)

Accept Do not accept (Second investor, if applicable)

f) I have occasionally made investments, of a type similar to that in Oikocredit, within the last ten years:

Accept Do not accept (Sole/first investor)

Accept Do not accept (Second investor, if applicable)

g) I have previously made investments which, like Oikocredit, were for a wider social benefit as well as for a personal financial return:

Accept Do not accept (Sole/first investor)

Accept Do not accept (Second investor, if applicable)

Depending on how you have answered the statements above, investing in these depository receipts may or may not be suitable for you.

4. WHAT CATEGORY OF INVESTOR ARE YOU?

In order to be eligible to apply to invest in these depository receipts, you must self-certify as **ONE** of the following:

- a) **Restricted Investor** (an ordinary investor), or
 b) **Self-Certified Sophisticated Investor**, or
 c) **High Net Worth Investor**

Please read the sections below and decide how you wish to self-certify.

4a. EITHER YOU ARE A RESTRICTED INVESTOR (an ordinary investor)

I am the sole/first investor

I am the second investor (if applicable)

I make this statement relating to non-readily realisable securities as a restricted investor. I declare that I qualify as a restricted investor because:

- i) In the twelve months preceding the date below, I have not invested more than 10% of my net assets* in non-readily realisable securities; and
 ii) I undertake that in the twelve months following the date below, I will not invest more than 10% of my net assets in non-readily realisable securities.

***Net assets for these purposes do not include:**

- The property which is my primary residence or any capital raised through a loan secured on that property;
- Any rights of mine under a qualifying contract of insurance (e.g. an endowment or life insurance policy);
- Any benefits (in the form of pensions or otherwise) which are payable on the termination of my employment, or on my death or retirement, and to which I am (or my dependants are), or may be, entitled.

Example: A person, aged 55, has savings totalling £48,000 in ISAs, bank accounts and savings accounts; a house which is mortgaged; a pension which is payable at 65, and a life insurance policy payable on death. The person has credit card bills of £1,000. The person has no investments in non-readily realisable securities prior to those of Oikocredit.

The person's net assets are therefore deemed to be £47,000, and they may invest up to £4,700 in Oikocredit and/or a similar investment.

WHAT HAPPENS NEXT?

Please sign and date this Eligibility Inquiry Form and return it to the address below. We shall review your eligibility and, if approved, will issue you with a **Subscription Offer Form** so that you can proceed with your investment.

Please indicate how you would like to receive your **Subscription Offer Form**: Post Email

Signature Sole/First Investor

Date (dd/mm/yy)

Signature Second Investor (if applicable)

Date (dd/mm/yy)

Would you like to subscribe to our **online quarterly newsletter** irrespective of whether you invest? Yes No

How did you hear about us? Radio Press Event Internet Referral Specifics:

Please return this form to:

The Administrator, Oikocredit UK and Ireland, Hyde Park House, 5 Manfred Road, London, SW15 2RS

Tel: + 44 330 355 33 00 ; email: uk@oikocredit.org; website: www.oikocredit.org.uk

4b. OR YOU ARE A SOPHISTICATED INVESTOR

I am the sole/first investor

I am the second investor (if applicable)

I declare that I am a self-certified sophisticated investor for the purposes of the restriction on promotion of non-readily realisable securities. I understand this means:

- i) I can receive promotions made by a person/organisation who is/are authorised by the Financial Conduct Authority relating to investment activity in non-readily realisable securities;
 ii) The investments to which the promotions will relate may expose me to a significant risk of losing all of the capital invested.

I am a self-certified sophisticated investor because **at least one of the following applies:**

- iii) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
 iv) I have made more than one investment in an unlisted company in the two years prior to the date below;
 v) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
 vi) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

4c. OR YOU ARE A HIGH NET WORTH INVESTOR

I am the sole/first investor

I am the second investor (if applicable)

I make this statement so that I can receive financial promotions which are exempt from the restriction on promotions of non-readily realisable securities. The exemption relates to certified high net worth investors and I declare that I qualify as such because **at least one of the following applies to me:**

- i) I had, throughout the financial year immediately preceding the date below, an annual income to the value of £100,000 or more;
 ii) I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more.

5. DATA PRIVACY

I/we consent to Oikocredit using the personal information above in accordance with data protection laws and in order to contact me/us about an investment in Oikocredit.